

## **RITZ MERCANTILE LIMITED**

Regd. Off: 603, Sethi Bhawan, 7 Rajendra Place, New Delhi-110008

CIN: L52110DL1985PLC019805, Contact No.: 011-25742255, 25746897

[e-mail:ritzmercantilelimited@gmail.com](mailto:ritzmercantilelimited@gmail.com), Website: [www.ritzmercantile.com](http://www.ritzmercantile.com)

**Date: 13.04.2024**

**The Manager**

**Listing Department**

**Metropolitan Stock Exchange of India Limited**

4<sup>th</sup> Floor, Vibgyor Towers, Plot No.C-62,

G- Block, Opp. Trident Hotel,

Bandra Kurla Complex, Bandra East,

Mumbai-400098

**Subject: Submission of Structured Digital Database Compliance Certificate for the Quarter Ended March 31, 2024**

Dear Sir,

With reference to the above cited subject please find enclosed herewith the Compliance Certificate of Structured Digital Database for the Quarter ended on March 31, 2024 as per regulation 3(5) of Securities Exchange Board of India (Prohibition on Insider Trading) Regulations, 2015.

Kindly take the same in your record

Thanking you,

Yours faithfully,

**For, Ritz Mercantile Limited**

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**Madhav Kumar Mishra**

**Company Secretary and Compliance Officer**

**M. No: 69208**

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### **COMPLIANCE CERTIFICATE FOR THE QUARTER ENDED MARCH 31, 2024**

*(Pursuant to Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015)*

I, Madhav Kumar Mishra, Compliance Officer of the company, have examined the following compliance requirement of M/s. RITZ MERCANTILE LIMITED and certify that the Company has not maintained a Structured Digital Database (SDD) pursuant to provisions of Regulation 3(5) and 3(6) of **Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015** (PIT Regulations):

Sr. No	Compliance Requirement	Yes/ No	Observation/ Remark
1.	Whether the Company has a Structured Digital Database in place?	No	The Company is in the process of Purchasing designated software and implementing a Structural digital database tool for Monitoring the UPSI,
2.	Whether control exists as to who can access the SDD for read/ write along with the names and PAN of such person?	No	
3.	Whether all the UPSI have been captured in the Database. If not details of events that have Not been captured and the reason for the same?	No	
4.	Whether the recipient were upfront informed that the information which they will be receiving shortly is UPSI and the entry has been captured in the Database prior to forwarding the UPSI data. If not details of events that have not been captured and The reason for the same?	No	However presently, Company maintains such data manually, considering the small size of the company.
5.	Whether nature of UPSI have been captured Along with date and time?	No	Notice of the Board Meetings and Disclosures of Trading window Closure were sent to all the directors of the company
6.	Whether name of persons who have shared the information has been captured along with PAN or Any other identifier?	No	
7.	Whether name of persons with whom information is shared has been captured along with PAN or any Other identifier?	No	
8.	Whether the database has been maintained Internally?	No	
9.	Whether audit trail is maintained?	No	
10.	Whether time stamping is maintained?	No	
11.	Whether the database is non-tamperable?	No	
12.	Any other measures to ensure non-tamperability of The Database?	No	

Note: The information of the audit should cover the period when such information was inserted in the SDD up to the date of disclosure.

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The number of days for which non-compliance was observed: All details mentioned in the reports submitted with stock exchange.

Further I also confirm that the Company was required to capture 01 number of events during the quarter/half year ended and has captured 01 number of the said required events.

**For RITZ MERCANTILE LIMITED**

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Madhav Kumar Mishra  
Compliance Officer  
Date: 13/04/2024  
Place: Delhi

**Note:** The attached certificate should cover events which have been disclosed by the listed entity to the stock exchange so that the secretarial auditor will not be exposed to UP